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## SERIAL NO. 09/895,977

DOCKET NO. AUS920010235US1

DATE: January 6, 2006

January 6, 2006 DATE:

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**Group Art Unit:** 

3623

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FROM:

Joseph T. Van Leeuwen

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Docket No	o. AUS920010235US1	Serial No	. 09/895,977	Atty: N	ASW /	JVL
Applicant	: Calderaro, et al.					
<u>X</u> Tr	ransmittal Letter (2 copies)	<u>X</u>	Certificate of Facsimil	е		
Aı	mendment ( pages)	******	Notice of Appeal			
Aı	mendment AF		Appeal Brief (3 copies	<b>;</b> )		
Ex	kt. of Time		Reply Brief			
<u>X</u> ID	OS Statement		Change of Address			
_X_ Fo	orm PTO-1449 (Modified)					
<u>x</u> o	ther: Copies of 2 non-patent refe	rences cit	ed in Form PTO 1449 (	9 pages)		
Deposit A	Acct. No. 09-0447					
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Joseph T. Van Leeuwen

Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Organizational Risk Based On Personnel Planning Factors

Enclosed are:

X Information Disclosure Statement;

X Modified form 1449 listing 2 references;

X Copies of 2 non-patent references (9 pages);

37 CFR § 1.97 is satisfied by the following:

X This IDS is being filed pursuant to CFR § 1.97(c) and:

X no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

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X Any additional patent application processing fees under 37 CFR Sect. 1.17.

Respectfully submitted,

Joseph T. Van Leeuwen

Attorney for Applicant Registration No. 44,383

Telephone: (512) 301-6738 Facsimile: (512) 301-6742

512 301 6742

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Joseph T. Van Leeuwen Attorney for Applicant Registration No. 44,383 Telephone: (512) 301-6738

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DOCKET NO.

DATE: January 6, 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: § Group Art Unit: 3623

Calderaro, et. al. §

Title: System and Method for § Intellectual Property Law Dept.

Organizational Risk Based On § 11400 Burnet Road Personnel Planning Factors § Austin, Texas 78758

## INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

Joseph T. Van Leeuwen Van Leeuwen & Van Leeuwen

Attorneys for Applicant Registration No. 44,383 Telephone: (512) 301-6738 Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT				Serial No. <u>09/895,977</u> Applicant: <u>Calderaro, et. al.</u> Filing Date: <u>6/29/2001</u> Group: <u>3623</u> Atty. Docket No. <u>AUS920010235US1</u>						
			U. S. PATE	ENT DOCUMENTS						
Examiner Initial		Document Number	<u>Date</u>	<u>Name</u>	Classification Class / Subclass	Filing Date if Appropriate				
	AA					THERAPARA				
	AΒ									
	AC									
	AD									
	ΑE									
	AF									
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Tinnai	AM	Number	Date	<u>Country</u>	Classification	Yes No				
	AN									
	AO									
	AP									
Examiner Initial		OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	AQ	"Oracle seizes the future with retention training," Workforce, 78, 12, 88. Dec. 1999. From DIALOG file 148, 11713011.								
	AR	McNally, J. Stephen, "Retaining valued employees," <u>Pennsylvania CPA Journal</u> , vol. 71, no. 4, p. 24-28, winter 2000. From DIALOG file 15, 02096148.								
	AS									
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